

365-201 A/SEQUENCE  
Doc No.: OBRIEN.002DV2  
Page 1  
08/484594  
3/a  
9.9.95

DIVISION-CONTINUATION PROGRAM APPLICATION TRANSMITTAL FORM

ANTICIPATED CLASSIFICATION OF THIS APPLICATION

Class:  
PRIOR APPLICATION

Subclass:

Examiner: S.G. Cermak

Art Unit: 1812

To the Commissioner of Patents and Trademarks:

This is a Request for filing a divisional application under 37 CFR 1.60, of pending prior application Serial No. 08/100,247, filed on July 30, 1993, of O'Brien et al., for PROSAPOSIN AS A NEUROTROPHIC FACTOR.

(X) Enclosed is a copy of the latest inventor-signed prior application, including the oath or declaration as originally filed. I hereby verify that the attached papers are a true copy of the latest inventor-signed prior application Serial No. 08/100,247 as originally filed on July 30, 1993, and further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(X) The filing fee is calculated below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$365	\$365.00
Total Claims	14 - 20 =	0 x	\$11	\$0
Independent Claims	3 - 3 =	0 x	\$38	\$0
If application contains any multiple dependent claims(s), then add			\$120	\$0
TOTAL FILING FEE				\$365.00

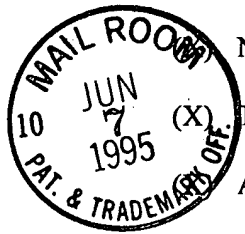
(X) The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

(X) A check in the amount of \$365.00 is enclosed.

(X) Cancel in this application original Claims 1-11 and 26-33 of the prior application before calculating the filing fee.

(X) ~~Amend the specification by replacing the first sentence with:~~ "This application is a divisional of <sup>now US Patent</sup> U.S. Patent Application Serial No. 08/100,247, filed July 30, 1993, by the same inventor, <sup>5,571,787</sup>

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New informal/formal drawings are enclosed.

(X) The prior application is assigned of record to John S. O'Brien.

A preliminary amendment is enclosed.

(X) A copy of the verified statement claiming small entity status filed in the prior application is enclosed.

(X) A copy of the Sequence Submission filed in the prior application is enclosed. (The diskette is of record in the prior application).

(X) Return prepaid postcard.

(X) The power of attorney in the prior application is to the law firm of Knobbe, Martens, Olson & Bear.

(X) The power appears in the original papers in the prior application.

( ) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

Address all future communications to:

Anita M. Kirkpatrick  
Knobbe, Martens, Olson & Bear  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660

Date:

June 7, 1995

Signature:

Anita M. Kirkpatrick  
 Anita M. Kirkpatrick  
 Registration No. 32,617  
 Attorney of Record



A

## KNOBBE, MARTENS, OLSON &amp; BEAR

A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

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Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Case No. : OBRIEN.002DV2

Applicant(s) : O'Brien et al.

For : PROSAPOSIN AS A  
NEUROTROPHIC FACTOR

Attorney : Anita M. Kirkpatrick

"Express Mail"  
Mailing Label No. : TB397490753US

Date of Deposit : June 7, 1995

I hereby certify that the accompanying

Transmittal in Duplicate; Specification in 34 pages; 2  
sheets of informal drawings; Copy of signed Declaration  
and Power of Attorney in 2 pages; Small Entity Statement;  
Copy of Sequence Submission in 1 page; Preliminary  
Amendment in 3 pages; Check for Filing Fee; Return  
Prepaid Postcard

are being deposited with the United States Postal Service "Express  
Mail Post Office To Addressee" service under 37 CFR 1.10 on the  
date indicated above and are addressed to the Commissioner of  
Patents and Trademarks, Washington, D.C. 20231.

John Riedel

AMK-5405  
052595



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : O'Brien, et al. )  
Serial No. : Unknown ) Group Art Unit Unknown  
Filed : Herewith )  
For : PROSAPOSIN AS A )  
NEUROTROPHIC FACTOR )  
Examiner : Unknown )

COPY

SEQUENCE SUBMISSION

Hon. Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

A copy of the Sequence Listing in computer readable form as required by 37 CFR §1.821 (e) is submitted herewith.

As required by 37 CFR §1.821 (f), the data on the enclosed disk is identical to the sequence listing in the application filed herewith.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR

Dated: 7-30-93

By: 

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NAI-4685/krs